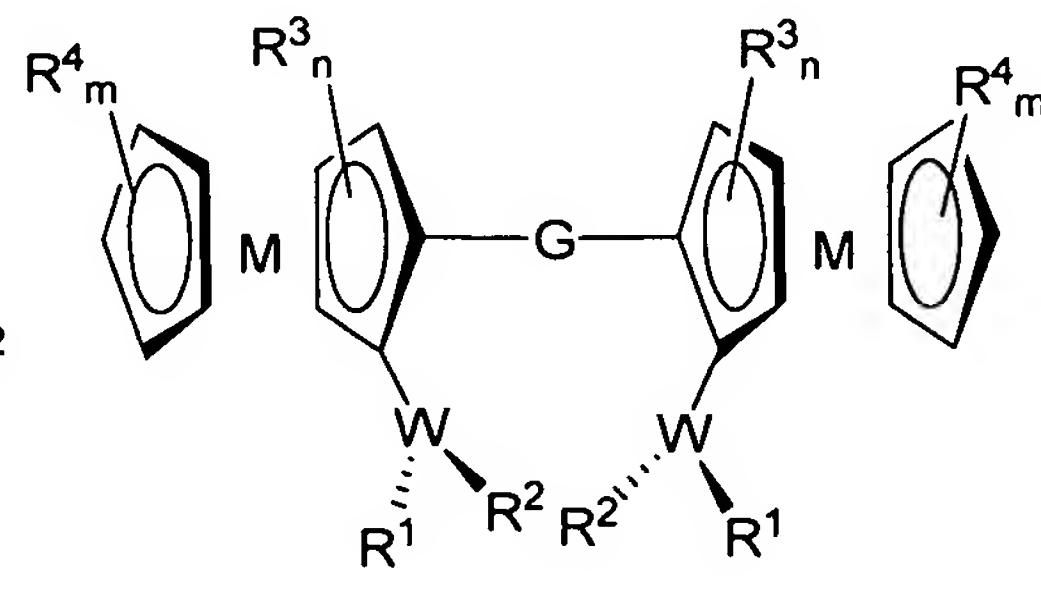
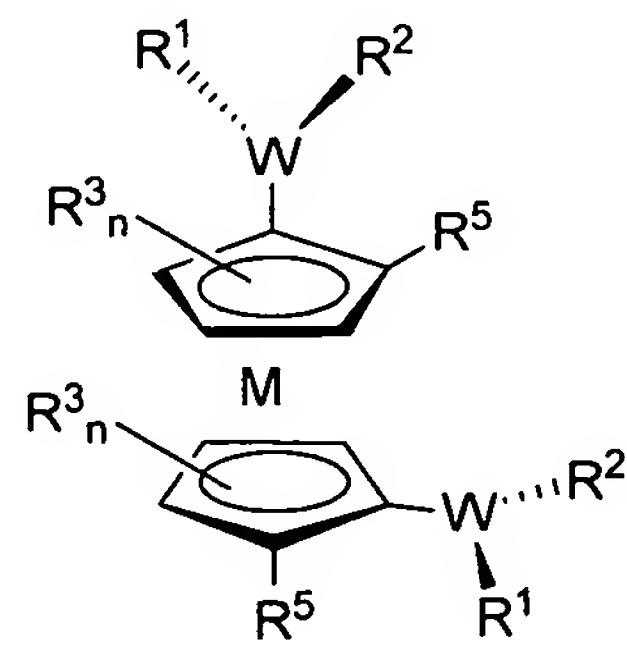
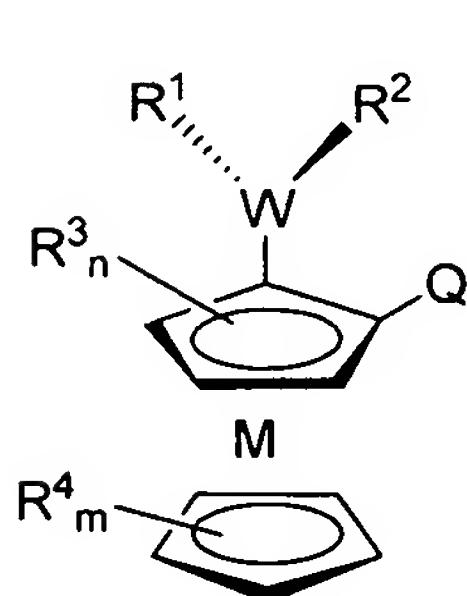
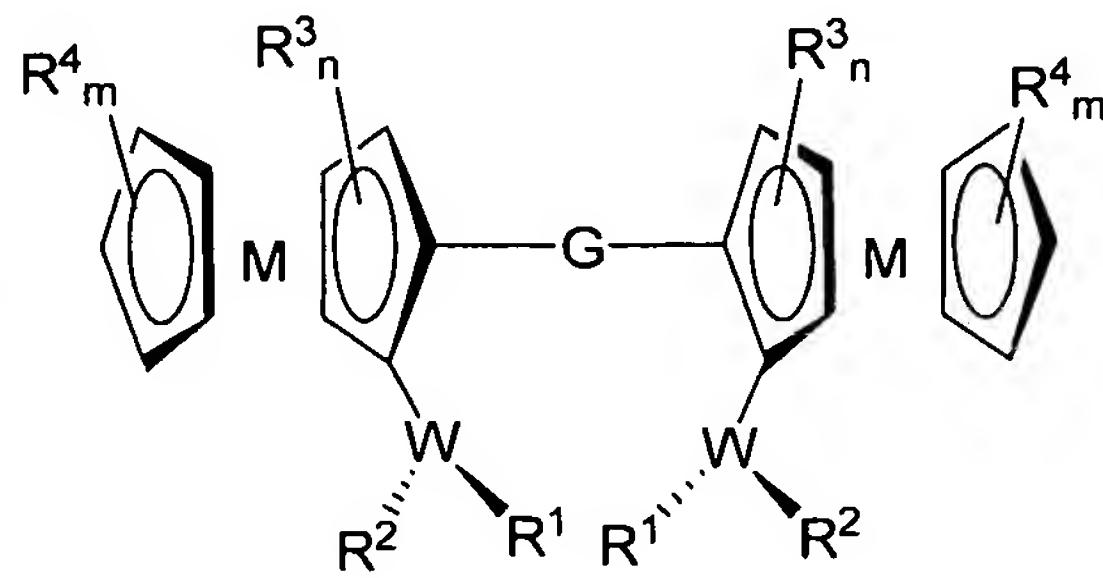
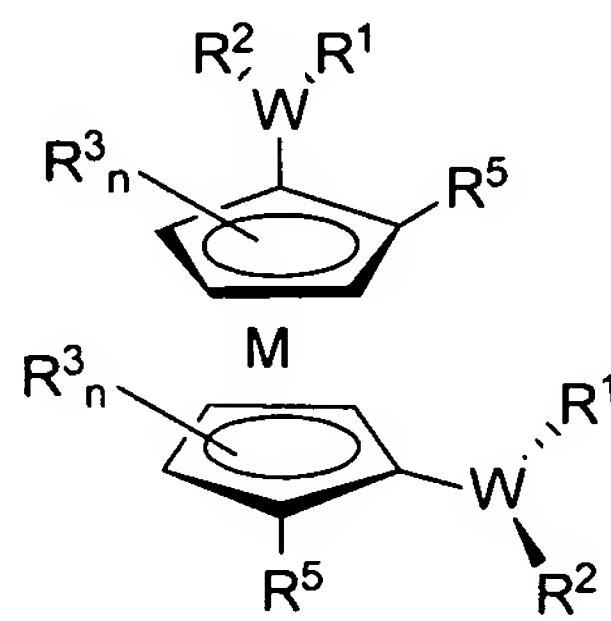
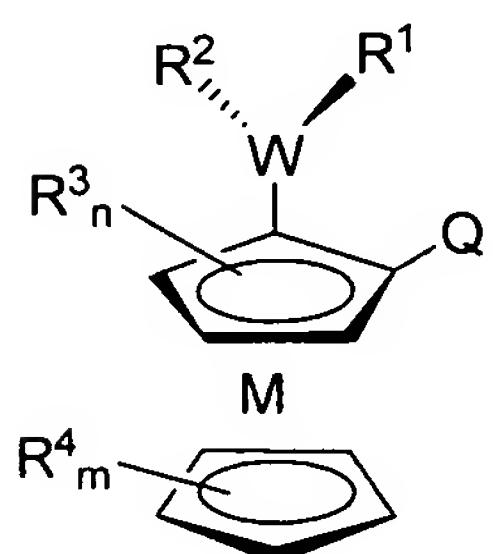
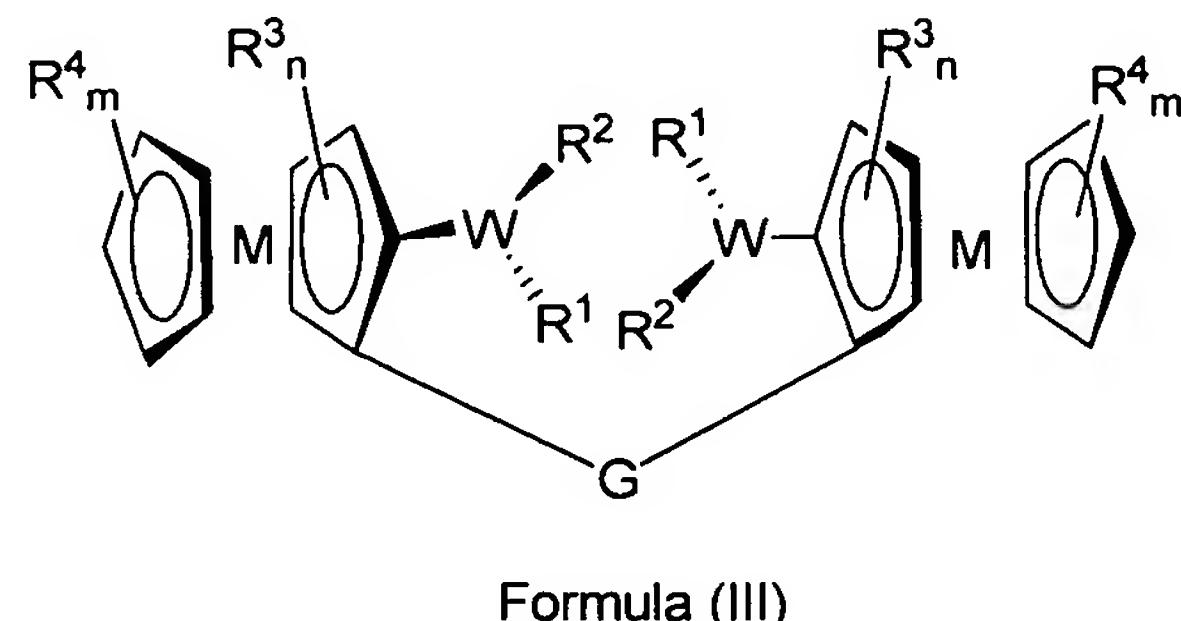
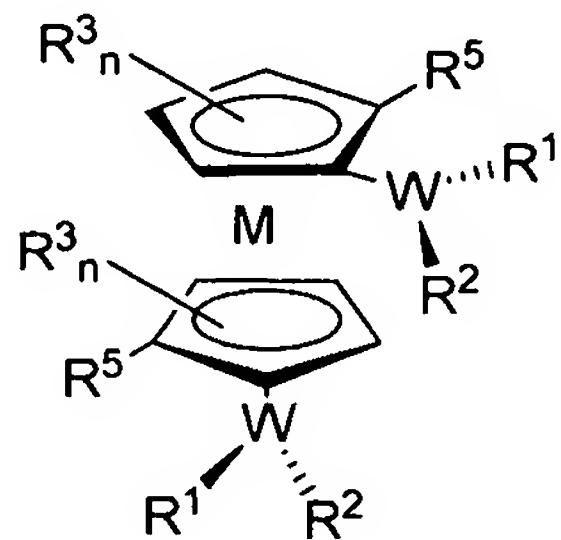
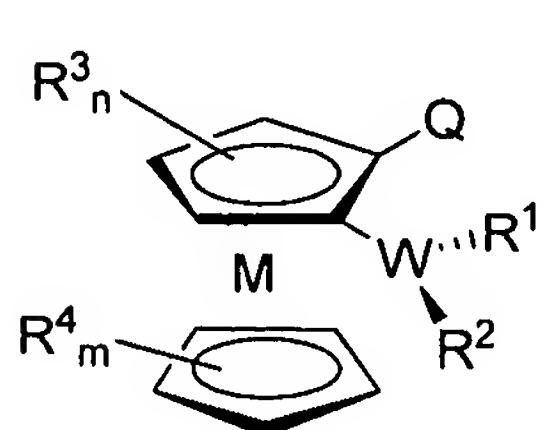


AMENDMENTS TO THE CLAIMS

1–22. (Canceled)

23. (New) A metallocene-based ligand having a formula selected from the group consisting of Formula (I), Formula (II), Formula (III), Formula (IV), Formula (V), Formula (VI), Formula (VII), Formula (VIII), and Formula (IX):



wherein W is phosphorus or arsenic;

Appl. No. : Unassigned
Filed : Herewith

M is a metal;

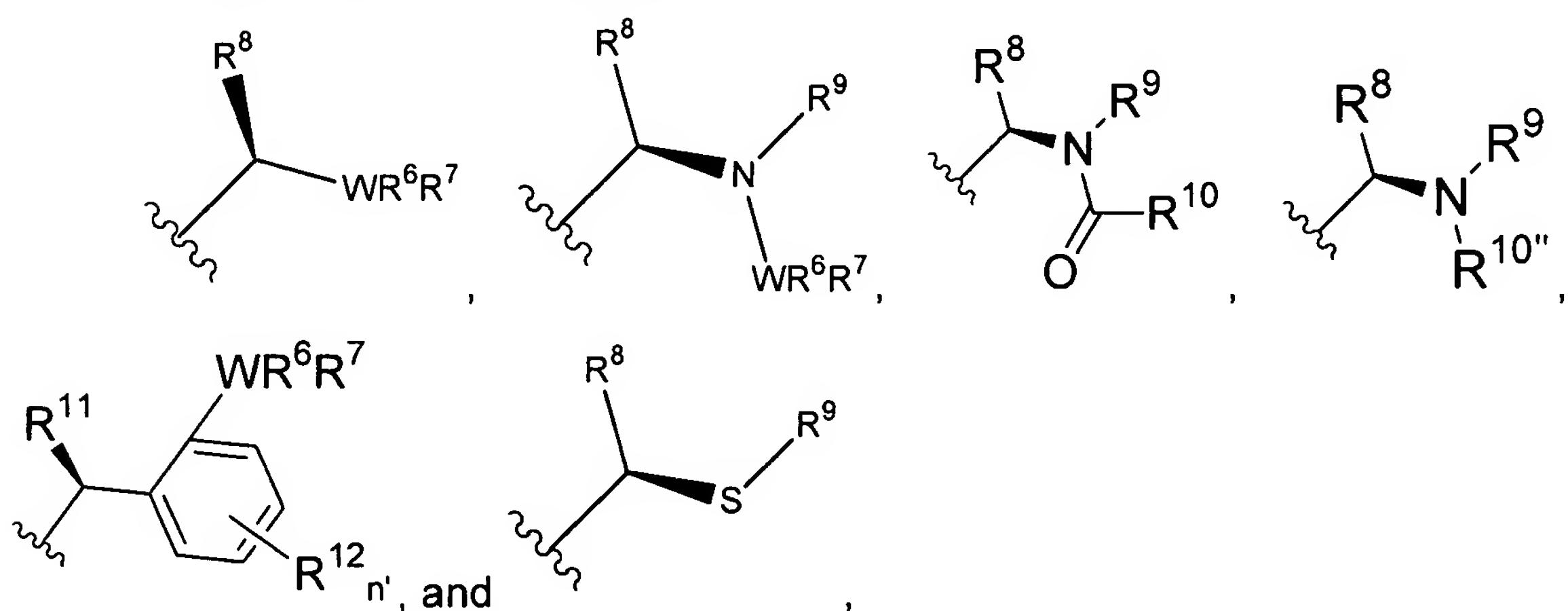
R¹ and R² are different from each other and are independently selected from the group consisting of unsubstituted branched-chain alkyl, unsubstituted straight-chain alkyl, unsubstituted alkoxy, unsubstituted alkylamino, unsubstituted cycloalkyl, unsubstituted cycloalkoxy, unsubstituted cycloalkylamino, unsubstituted carbocyclic aryl, unsubstituted carbocyclic aryloxy, unsubstituted heteroaryl, unsubstituted heteroaryloxy, unsubstituted carbocyclic arylamino, unsubstituted heteroaryl amino, unsubstituted branched-chain alkyl, unsubstituted straight-chain alkyl, unsubstituted alkoxy, unsubstituted alkylamino, unsubstituted cycloalkyl, unsubstituted cycloalkoxy, unsubstituted cycloalkylamino, unsubstituted carbocyclic aryl, unsubstituted carbocyclic aryloxy, unsubstituted heteroaryl, unsubstituted heteroaryloxy, unsubstituted carbocyclic arylamino, and unsubstituted heteroaryl amino;

R³ and R⁴ are independently selected from the group consisting of substituted branched-chain alkyl, substituted straight-chain alkyl, substituted cycloalkyl, substituted carbocyclic aryl, substituted heteroaryl, unsubstituted branched-chain alkyl, unsubstituted straight-chain alkyl, unsubstituted cycloalkyl, unsubstituted carbocyclic aryl, and unsubstituted heteroaryl;

n is an integer of from 0 to 3;

m is an integer of from 0 to 5;

Q is selected from the group consisting of

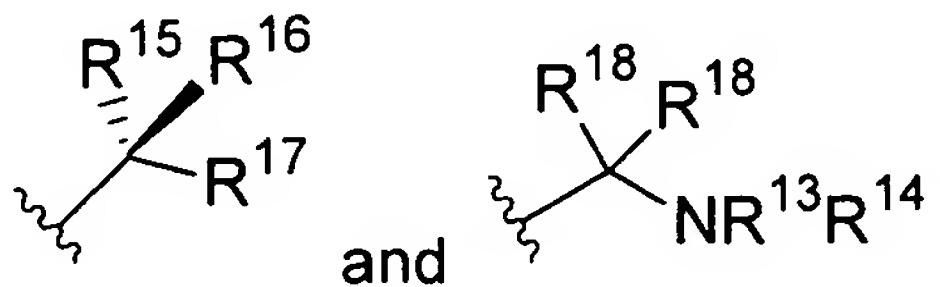


wherein R⁶ and R⁷ are independently selected from the group consisting of substituted branched-chain alkyl, substituted straight-chain alkyl, substituted

Appl. No. : Unassigned
Filed : Herewith

alkoxy, substituted alkylamino, substituted cycloalkyl, substituted cycloalkoxy, substituted cycloalkylamino, substituted carbocyclic aryl, substituted carbocyclic aryloxy, substituted heteroaryl, substituted heteroaryloxy, substituted carbocyclic arylamino, substituted heteroaryl amino, unsubstituted branched-chain alkyl, unsubstituted straight-chain alkyl, unsubstituted alkoxy, unsubstituted alkylamino, unsubstituted cycloalkyl, unsubstituted cycloalkoxy, unsubstituted cycloalkylamino, unsubstituted carbocyclic aryl, unsubstituted carbocyclic aryloxy, unsubstituted heteroaryl, unsubstituted heteroaryloxy, unsubstituted carbocyclic arylamino, and unsubstituted heteroaryl amino; R⁸, R⁹, R¹⁰, and R^{10'} are independently selected from the group consisting of hydrogen, substituted branched-chain alkyl, unsubstituted branched-chain alkyl, substituted cycloalkyl, unsubstituted cycloalkyl, substituted carbocyclic aryl, unsubstituted carbocyclic aryl, substituted heteroaryl, and unsubstituted heteroaryl; R¹¹ is selected from the group consisting of OR¹³, SR¹³, NHR¹³, and NR¹³R¹⁴, wherein R¹³ and R¹⁴ are independently selected from the group consisting of hydrogen, substituted branched-chain alkyl, unsubstituted branched-chain alkyl, substituted cycloalkyl, unsubstituted cycloalkyl, substituted carbocyclic aryl, unsubstituted carbocyclic aryl, substituted heteroaryl, and unsubstituted heteroaryl; R¹² is selected from the group consisting of hydrogen, halogen, OR¹³, SR¹³, NR¹³R¹⁴, substituted branched-chain alkyl, unsubstituted branched-chain alkyl, substituted cycloalkyl, unsubstituted cycloalkyl, substituted carbocyclic aryl, unsubstituted carbocyclic aryl, substituted heteroaryl, and unsubstituted heteroaryl, and n' is 0 to 4;

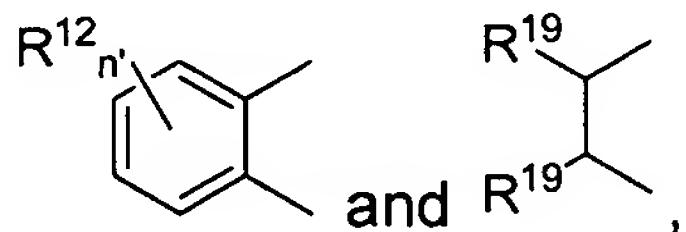
R⁵ is selected from the group consisting of



wherein R¹⁵, R¹⁶ and R¹⁷ are independently selected from the group consisting of hydrogen, halogen, OR¹³, SR¹³, NR¹³R¹⁴, substituted branched-chain alkyl, unsubstituted branched-chain alkyl, substituted cycloalkyl, unsubstituted cycloalkyl, substituted carbocyclic aryl, unsubstituted carbocyclic aryl, substituted heteroaryl, and unsubstituted heteroaryl; and wherein the two

geminal substituents R¹⁸ together are a doubly bonded oxygen atom, or each geminal substituent R¹⁸ is individually hydrogen; and

G is selected from the group consisting of -C(=O)NH-R*-NHCO-, -C(=O)-OR*O-C(=O)-, -C(=O)-R*C(=O)-, -CH=N-R*-N=CH-, -CH₂NH-R*-NHCH₂-,-CH₂NHC(=O)-R*-C(=O)NHCH₂-, -CH(R⁸)NH-R*-NH(CH(R⁸))-,-CH(R⁸)NHC(=O)-R*-C(=O)NHCH(R⁸)-, -C(=O)NH-R-NHC(=O)-, -C(=O)-ORO-C(=O)-, -C(=O)-RC(=O)-, -CH=N-R-N=CH-, -CH₂NH-R-NHCH₂-, -CH₂NHC(=O)-R-C(=O)NHCH₂-, -CH(R⁸)NH-R-NH(CH(R⁸))-,-CH(R⁸)NHC(=O)-R-C(=O)NHCH(R⁸)-; wherein -R*- and -R- are selected from the group consisting of:



wherein the two substituents R¹⁹ together are -(CH₂)_{m'}- or each substituent R¹⁸ is independently selected from the group consisting of hydrogen, substituted branched-chain alkyl, unsubstituted branched-chain alkyl, substituted cycloalkyl, unsubstituted cycloalkyl, substituted carbocyclic aryl, unsubstituted carbocyclic aryl, substituted heteroaryl, and unsubstituted heteroaryl; n' is an integer of from 0 to 4; and m' is an integer of from 1 to 8.

24. (New) The metallocene-based ligand of Claim 1, which is an enantiomer having Formula (IV), Formula (V), or Formula (VI).

25. (New) The metallocene-based ligand of Claim 1, which is a diastereomer having Formula (VII), Formula (VIII), or Formula (IX).

26. (New) The metallocene-based ligand of Claim 1, wherein the metallocene-based ligand is a phosphine or arsine having chirality at W, and wherein the metallocene-based ligand has at least one additional element of chirality selected from the group consisting of planar chirality, chirality at carbon, and axial chirality.

27. (New) The metallocene-based ligand of Claim 1, wherein the metallocene-based ligand is a diphosphine or diarsine having chirality at W, and wherein the metallocene-based ligand has two additional elements of chirality comprising planar chirality and chirality at carbon.

Appl. No. : Unassigned
Filed : Herewith

28. (New) The metallocene-based ligand of Claim 1, wherein the metallocene-based ligand is a diphosphine or diarsine having chirality at W, and wherein the metallocene-based ligand has three additional elements of chirality comprising planar chirality, chirality at carbon, and axial chirality.

29. (New) The metallocene-based ligand of Claim 1, wherein the metallocene is ferrocene.

30. (New) The metallocene-based ligand of Claim 1, wherein W is phosphorus.

31. (New) A catalyst or catalyst precursor in an asymmetric transformation reaction to generate a high enantiomeric excess of a formed compound, the catalyst or catalyst precursor comprising the metallocene-based ligand of Claim 1.

32. (New) A transition metal complex containing a transition metal coordinated to the metallocene-based ligand of Claim 1.

33. (New) A transition metal complex according to Claim 32, wherein the transition metal is a Group VIb metal or a Group VIII metal.

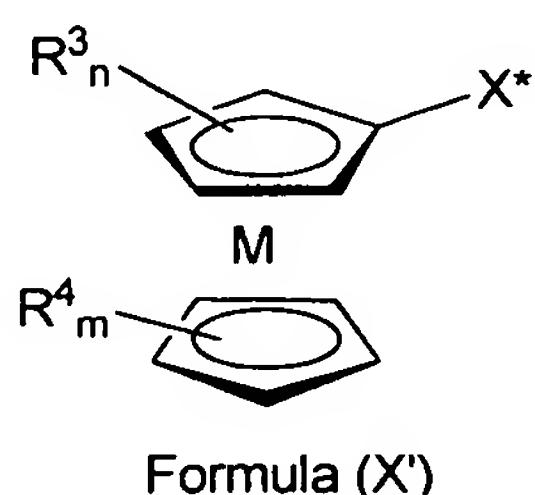
34. (New) A method for preparing the metallocene-based ligand, comprising:

providing a metallocene-based substrate having a chiral directing substituent on one or both rings;

ortho-lithiating the metallocene-based substrate; and

converting the ortho-lithiated metallocene-based substrate to obtain the metallocene-based ligand of Claim 1.

35. (New) The method according to Claim 34 wherein the metallocene-based ligand has Formula (I) or Formula (III), wherein the metallocene-based substrate having Formula (X'):

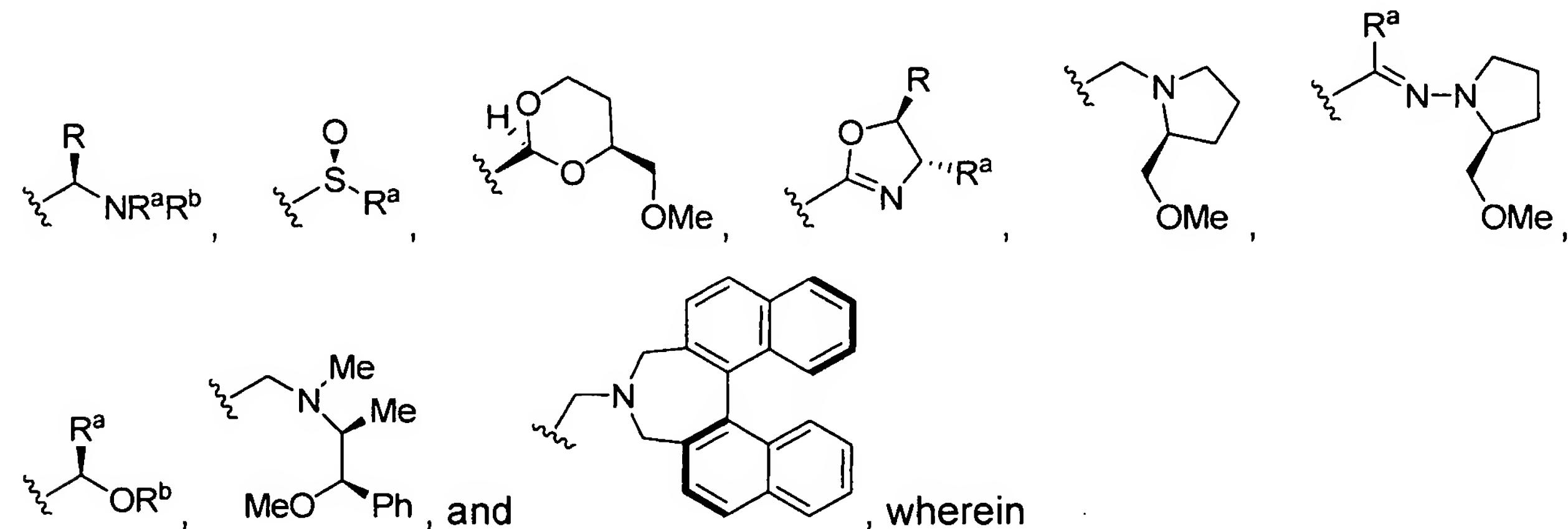


wherein R³, R⁴, and n are as defined in Claim 1, and wherein X* is a chiral directing group, wherein the step of converting the ortho-lithiated metallocene-based substrate

Appl. No. : Unassigned
Filed : Herewith

comprises reacting the ortho-lithiated metallocene-based substrate with an R¹ substituted phosphine or an R¹ substituted arsine, and with an R²-bearing Grignard reagent or an R²-bearing organolithium compound, then converting X* to Q or G.

36. (New) The method according to Claim 35, wherein X* is selected from the group consisting of:

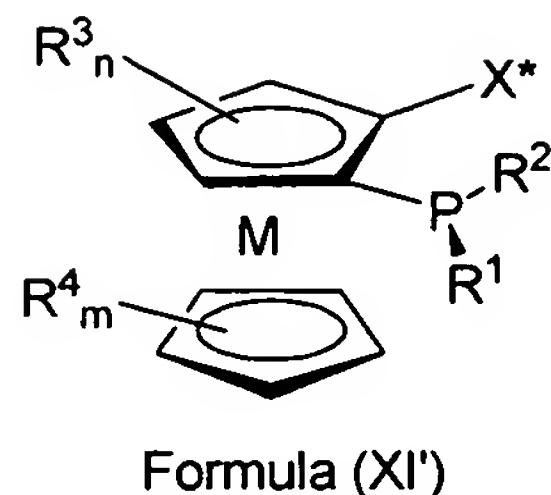


R^a and R^b are independently selected from the group consisting of substituted branched-chain alkyl, substituted straight-chain alkyl, substituted cycloalkyl, substituted carbocyclic aryl, substituted heteroaryl, unsubstituted branched-chain alkyl, unsubstituted straight-chain alkyl, unsubstituted cycloalkyl, unsubstituted carbocyclic aryl, and unsubstituted heteroaryl.

37. (New) The method according to Claim 35, wherein the ortho-lithiating step is conducted using at least one lithiating agent selected from the group consisting of n-butyllithium, sec-butyllithium, and tert-butyllithium.

38. (New) The method according to Claim 37, wherein the step of converting the ortho-lithiated metallocene-based substrate comprises reacting the ortho-lithiated metallocene-based substrate *in situ* with a dichlorophosphine of the formula R¹PCl₂ wherein R¹ is as defined in Claim 1, to yield an intermediate product, wherein the intermediate product is converted to obtain the metallocene-based ligand of Claim 1.

39. (New) The method according to Claim 38, further comprising reacting the intermediate product with an organometallic reagent of formula R²Z, wherein R² is as defined in Claim 1, wherein Z is Li or MgY, and wherein Y is a halide, to obtain a phosphorus chiral compound having Formula (XI'):



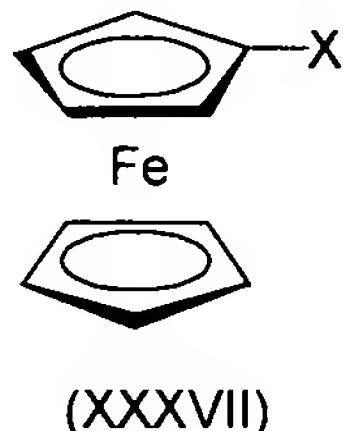
Formula (XI')

wherein the phosphorus chiral compound is converted to obtain the metallocene-based ligand of Claim 1.

40. (New) The method of Claim 39, wherein the metallocene-based ligand has Formula (I) or Formula (III).

41. (New) A method for preparing a metallocene-based ligand of Claim 1, comprising:

providing a compound of Formula (XXXVII):

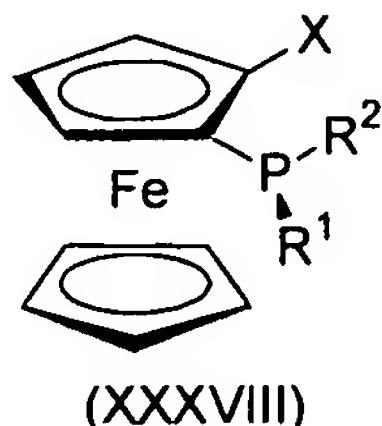


(XXXVII)

wherein X is an achiral directing group;

subjecting the compound of Formula (XXXVII) to enantioselective mono-ortho-lithiation using at least one lithiating agent selected from the group consisting of n-butyllithium, sec-butyllithium, and tert-butyllithium, wherein the mono-ortho-lithiation is conducted in the presence of a homochiral tertiary amine, whereby a chiral monolithium compound is obtained;

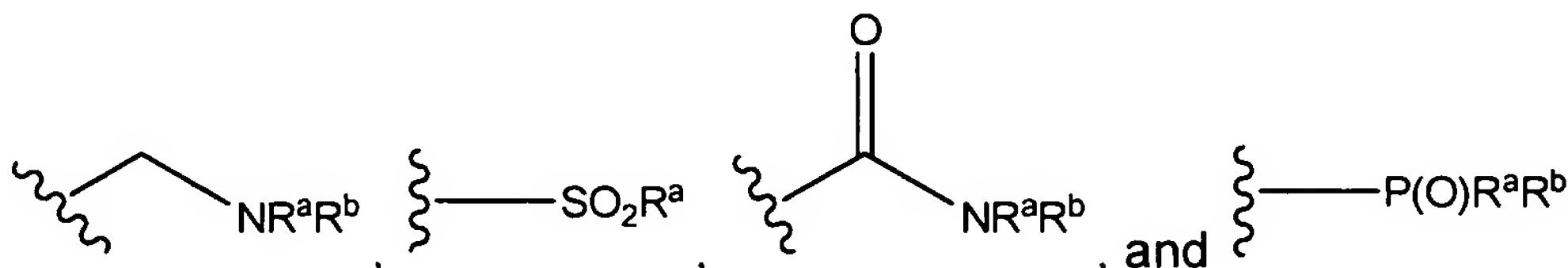
reacting the chiral monolithium compound *in situ* with a dichlorophosphine of the formula R^1PCl_2 followed by reacting with an organometallic reagent of the formula R^2Z , wherein R^1 and R^2 are as defined in Claim 1, wherein Z is Li or MgY, and wherein Y is a halide, to obtain a phosphorus chiral compound having Formula (XXXVIII):



(XXXVIII)

and converting the phosphorus chiral compound having Formula (XXXVIII) to the metallocene-based ligand of Claim 1, wherein the metallocene-based ligand has Formula (I) or Formula (III).

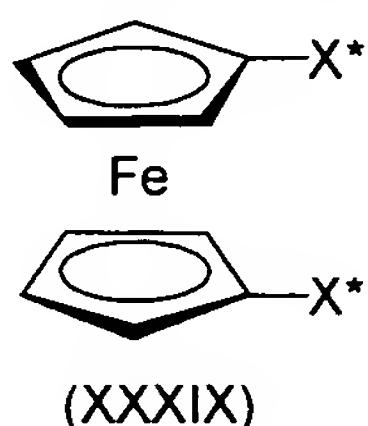
42. (New) The method according to Claim 41 wherein X is selected from the group consisting of:



wherein R^a and R^b are independently selected from the group consisting of substituted branched-chain alkyl, substituted straight-chain alkyl, substituted cycloalkyl, substituted carbocyclic aryl, substituted heteroaryl, unsubstituted branched-chain alkyl, unsubstituted straight-chain alkyl, unsubstituted cycloalkyl, unsubstituted carbocyclic aryl, and unsubstituted heteroaryl.

43. (New) A method for preparing a metallocene-based ligand of Claim 1, comprising:

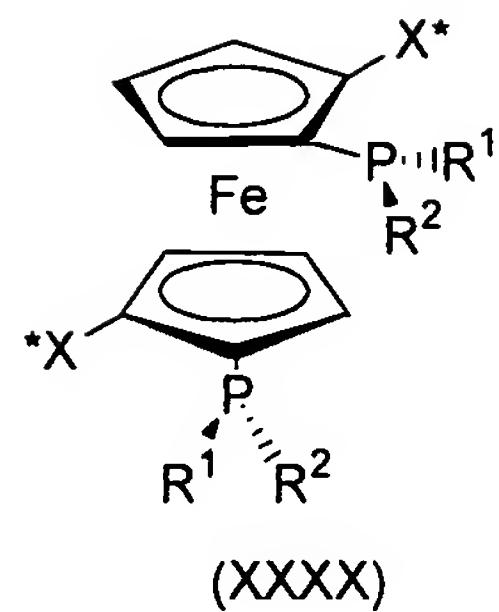
providing a compound of Formula (XXXIX):



wherein X^* is a chiral directing group;

subjecting the compound of Formula (XXXIX) to bis-ortho-lithiation using at least one lithiating agent selected from the group consisting of n-butyllithium, sec-butyllithium, and tert-butyllithium, whereby a bislithium compound is obtained; reacting the resulting bislithium compound *in situ* with a dichlorophosphine of the formula R^1PCl_2 followed by reacting with an organometallic reagent of the formula R^2Z wherein R^1 and R^2 are as defined in Claim 1w wherein Z is Li or MgY, and wherein Y is a halide, to obtain a phosphorus chiral compound having Formula (XXXX):

Appl. No. : Unassigned
Filed : Herewith



and converting the phosphorus chiral compound having Formula (XXXX) to the metallocene-based ligand of Claim 1, wherein the metallocene-based ligand has Formula (II).